

Supplier self assessment

Supplier self-assessment of the KRONE Group

| | |
|--|--|
| Company name: | |
| Address: | |
| Website: | |
| D-U-N-S No.: | |
| NACE Code: | |
| Membership of group / corporate Group: | |
| Owner(s) / Main shareholders: | |
| Legal form: | |
| Production sites: | |
| Since when does the company exist?: | |

The purpose of this information is to provide an initial overview of your company. It forms the basis for a potential future cooperation. Please note that in order to be included in the list of approved suppliers for the Krone Group, the completed form must be submitted.

Thank you in advance for your cooperation.

| 1. Contact person | | | |
|--------------------------------|-------------|-------------|---------------|
| Department | Name | Tel: | E-Mail |
| Management | | | |
| Technical Manager | | | |
| Plant Manager | | | |
| Sales Manager | | | |
| Disposition / Order processing | | | |
| QM Officer | | | |
| Sustainability Officer | | | |
| Accounting | | | |
| 2. Number of employees | | | |
| Location | | | |
| Administration employees | | | |
| Commercial employees | | | |

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| 3. Company turnover | | | | |
|---|---------------|----------------------------------|--|------------------------------------|
| | Previous year | Last year | This year | Next year |
| Turnover | | | | |
| Production Capacity | | | | |
| Purchasing Volume | | | | |
| Main customers and products | | | | |
| Customers | Products | | Annual turnover | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| What is your core area: | | | | |
| What are core products: | | | | |
| Do you currently supply a Krone Group company? | | | | |
| Turnover with Krone: | | | | |
| How high is the value added share: (in %) | | | | |
| Annual surplus for the last financial year (before tax in €) | | | | |
| 4. Which evidence level does your QM system reach? Please mark a cross and enclose the latest certificate: | | | | |
| DIN ISO 9000ff <input type="checkbox"/> | | QS 9000 <input type="checkbox"/> | VDA 6. <input type="checkbox"/> | DIN 16949 <input type="checkbox"/> |
| Do you carry out product-process approvals with EMP based on customer-specific requirements, VDA or QS 9000? | | | | |
| Do you carry out risk analyses for products and processes? | | | | |
| Do you carry out ongoing and documented error analyses and corrections for continuous quality improvement? | | | | |
| What advance quality planning do you use? | | | | |
| Are you planning certification? (Until when? By whom?) | | | | |
| Which initial testing do you already use so Krone can waive the goods-in test? | | | | |
| Are you willing to sign the KRONE Quality and Environmental Agreement (available on our homepage)? | | | <input type="checkbox"/> Yes <input type="checkbox"/> No | |
| Are you willing to sign an non-disclosure agreement (NDA)? | | | <input type="checkbox"/> Yes <input type="checkbox"/> No | |
| On which platform are you registered for compliance with the Supply Chain Due Diligence Act? | | | | |

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| 5. Insurance / warranty | | | |
|--|----------------------------------|---|----------------------------|
| Do you have a product liability insurance policy including | <input type="checkbox"/> | extended product and liability insurance? | |
| | <input type="checkbox"/> | Recall-cost coverage | |
| Coverage sums available for: | <input type="checkbox"/> | Personal injury | € / year |
| | <input type="checkbox"/> | Damage to property | € / year |
| | <input type="checkbox"/> | Damage to assets | € / year |
| | <input type="checkbox"/> | Recall | € / year |
| An excess totalling: | € has been agreed for each claim | | |
| Do you have insurance for US risks? | <input type="checkbox"/> | Yes | <input type="checkbox"/> |
| | | No | |
| What maximum warranty periods can you agree with customers? | Months | | |
| Are you familiar with KRONE supplier insurance and would you like to receive more information? | <input type="checkbox"/> | Yes | <input type="checkbox"/> |
| | | No | / <input type="checkbox"/> |
| | | Yes | <input type="checkbox"/> |
| | | No | |
| 6. How and in which countries (worldwide) are you present? | | | |
| | | | |
| 7. Measurement and test devices | | | |
| Information on available measurement and test devices (if nec., use additional sheet) | | | |
| 8. CAD data | | | |
| How can CAD data be transmitted paperlessly? | | | |
| Which CAD software and file formats do you use? | | | |
| Can you convert data from 3D to 2D? | | | |
| 9. Logistics | | | |
| Do you have the option of remote data transmission? | | | |
| Do you have experience with delivery synchronized with products? | | | |
| Do you have experience in developing packaging/containers? | | | |
| Can you implement independent empty-goods control? | | | |
| Which type of delivery can you generally carry out? | | | |
| The following freight forwarders are used for transport operations: | | | |
| Company | Address | Tel. no. | Contact person |
| | | | |
| | | | |
| | | | |
| | | | |

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| 10. Manufacturing process | | | | |
|-------------------------------|---|------------------------------|-----------------------------|---------------------------------|
| Plastics | Duroplasts | Elastomers | Thermoplasts | |
| Extrusion / Vulcanisation | | | | |
| Foaming | | | | |
| Injection moulding | | | | |
| Pressing | | | | |
| Rotational moulding | | | | |
| Laminating process / RTM | | | | |
| Blow / Thermoforming | | | | |
| Chip cutting | | | | |
| Special manufacturing process | | | | |
| Electronics | <i>If you have skills here, please also fill out the attachment</i> | | | |
| THT assembly | Selective soldering process | | Wave soldering process | |
| SMD assembly | | | | |
| Circuit boards | Painting | | Shedding | |
| Metal | Steel | Aluminium | Magnesium | Other non-ferrous metals |
| Lasering | | | | |
| Welding | | | | |
| Bending, stamping, milling | | | | |
| Chip cutting | | | | |
| Chip fine processing | | | | |
| Die casting | | | | |
| Chill casting | | | | |
| Sand casting | | | | |
| Pressing / deep drawing | | | | |
| Cold flow / pressed parts | | | | |
| Forging | | | | |
| Special manufacturing process | | | | |
| Can you also: | Paint: | <input type="checkbox"/> Yes | <input type="checkbox"/> No | Which procedure? |
| | Assemble: | | | |

| Production | |
|---|-----------------------------------|
| Can you build your own tools? | |
| Are prototypes produced internally or externally? | |
| Membership: | |
| Employer association: | Collective Agreement Association: |

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11. Environmental management at suppliers

| | Yes | No | Comment |
|---|--|--|---------|
| <p>1. Has your company set up an environmental management system</p> <p><input type="checkbox"/> EMAS II (EG 761/2001) <input type="checkbox"/> ISO 14001 <input type="checkbox"/> internal system</p> <p>If yes, please attach a copy of the certificate. Do not answer any more questions</p> <p>Not yet in place but planned for</p> <p><input type="checkbox"/> EMAS II (EG 761/2001) <input type="checkbox"/> ISO 14001 <input type="checkbox"/> internal system</p> | <input type="checkbox"/> | <input type="checkbox"/> | |
| <p>2. Does your company have another management system that includes environmental protection?</p> <p>If yes, which?</p> | <input type="checkbox"/> | <input type="checkbox"/> | |
| <p>3. Does your company already audit environmental protection measures?</p> <p>If yes, using whom? <input type="checkbox"/> Internal auditor <input type="checkbox"/> External auditor</p> | <input type="checkbox"/> | <input type="checkbox"/> | |
| <p>4. Does your company regularly study the following for environmental effects:</p> <p>Production processes</p> <p>Supply and disposal processes</p> <p>Products</p> | <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> | <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> | |
| <p>5. Are environmental issues a fixed part of your product planning?</p> | <input type="checkbox"/> | <input type="checkbox"/> | |
| <p>6. Is your company IMDS-enabled? If yes, state ID no.</p> | <input type="checkbox"/> | <input type="checkbox"/> | |
| <p>7. Does your company use written guidelines for environmental protection, e.g.: REACH?</p> | <input type="checkbox"/> | <input type="checkbox"/> | |
| <p>8. Does your company document environmental protection measures and results?</p> | <input type="checkbox"/> | <input type="checkbox"/> | |
| <p>9. Does your company have objectives for improving environmental protection, and does it document fulfilling them?</p> | <input type="checkbox"/> | <input type="checkbox"/> | |
| <p>10. Are your employees regularly informed about environmental protection, and do they receive training?</p> | <input type="checkbox"/> | <input type="checkbox"/> | |
| <p>11. Do you work towards improving environmental protection with your suppliers and contractual partners?</p> | <input type="checkbox"/> | <input type="checkbox"/> | |

Supplier:

Date: _____ Signature: _____

Attachments

| Electronics Competencies | | |
|---|--|--------------------------------|
| Purchasing | | |
| Strategic purchasing of electronics | <input type="checkbox"/> Yes <input type="checkbox"/> No | |
| Is it possible to purchase directly from semiconductor manufacturers? | <input type="checkbox"/> Yes <input type="checkbox"/> No | |
| | If yes, whom?: | |
| Strategic purchasing of mechanics | <input type="checkbox"/> Yes <input type="checkbox"/> No | |
| Development | | |
| Hardware development | <input type="checkbox"/> Yes <input type="checkbox"/> No | |
| Mechanical construction | <input type="checkbox"/> Yes <input type="checkbox"/> No | |
| Software development | <input type="checkbox"/> Yes <input type="checkbox"/> No | |
| PCB layout (Programs?) | | |
| Test equipment construction | <input type="checkbox"/> Yes <input type="checkbox"/> No | |
| Methods, Processes | | |
| NPI (New Product Introduction) | | |
| Design for Excellence (DfX) / DfM, DfT | | |
| Product Industrialisation | | |
| Obsolescence management | | |
| Reactive component management | Proactive component management | Strategic component management |
| Life Cycle Management (Programs?) | | |
| Long term storage | <input type="checkbox"/> Yes <input type="checkbox"/> No | |
| Rework, Repair | <input type="checkbox"/> Yes <input type="checkbox"/> No | |
| End of Life Service | <input type="checkbox"/> Yes <input type="checkbox"/> No | |